Algebraic techniques in parameterized algorithms, Part II: Polynomials over finite fields of characteristic two

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Field is a triple $(F, +, \cdot)$, where

- F is a set, + and · are binary operations
- associativity: (a + b) + c = a + (b + c), $(a \cdot b) \cdot c = a \cdot (b \cdot c)$
- commutativity: a + b = b + a, $a \cdot b = b \cdot a$
- distributivity: $a \cdot (b + c) = a \cdot b + a \cdot c$.
- additive identity: $\exists 0 \in F$ s.t. 0 + a = a.
- multiplicative identity: $\exists 1 \in F \text{ s.t. } \forall a \in F \setminus \{0\} : 1 \cdot a = a$.
- additive inverses: $\forall a \in F \exists b \in F \text{ s.t. } a + b = 0;$
- multiplicative inverses: $\forall a \in F \setminus \{0\} \exists b \in F \text{ s.t. } a \cdot b = 1;$

Some familiar (infinite) fields: \mathbb{Q} , \mathbb{R} , \mathbb{C} .

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Finite fields of characteristic 2



In what follows, we use finite fields of size $|F| = 2^{\ell}$. We need to know just three things about such fields:

- They exist (for every $\ell \in \mathbb{N}$),
- We can perform arithmetic operations fast, in $O(\log |F|(\log \log |F|)^2)$ time,
- They are of characteristic two, i.e. 1 + 1 = 0.
 In particular, for any element *a*, we have

$$a + a = a \cdot (1 + 1) = a \cdot 0 = 0$$

Multivariate polynomials

Fix a field F.

Monomial

Monomial is an expression of the form $m = ax_1^{c_1}x_2^{c_2}\cdots x_n^{c_n}$, where

- a ∈ F
- x_1, \ldots, x_n are variables
- $c_1,\ldots,c_n\in\mathbb{N}\cup\{0\}.$

Degree of *m* is $\sum_{i=1}^{n} c_i$.

Examples:

 $5x_2^3x_3^7$ (degree 10),

 $x_1 x_2 x_3 \cdots x_{2014}$ (degree 2014),

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Multivariate polynomials

Polynomial

A **polynomial** is a finite sum of monomials.

Polynomial

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Polynomial (more precisely)

A polynomial an expression of the form

$$p = \sum_{(c_1, \dots, c_n) \in (\mathbb{N} \cup \{0\})^n} a_{c_1, \dots, c_n} x_1^{c_1} x_2^{c_2} \cdots x_n^{c_n}, \tag{1}$$

where the coefficients $a_{c_1,...,c_n}$ are non-zero only for a finite number of tuples $(c_1,...,c_n)$.

Degree of *p* is the maximum degree of its monomials.

Examples:

$$x_1 + x_2$$
 (degree 1),
 $x_1^3 x_2 + 5x_2^3 x_3^7 - x_1 x_2^5 x_3$ (degree 10),

 $x_1 x_2 x_3 \cdots x_{2014}$ (degree 2014),

0 (the zero polynomial).

Lemma [DeMillo and Lipton 1978, Zippel 1979, Schwartz 1980]

Let $p(x_1, x_2, ..., x_n)$ be a non-zero polynomial of degree at most d over a field F and let S be a finite subset of F. Sample values $a_1, a_2, ..., a_n$ from S uniformly at random. Then,

 $Pr[p(a_1, a_2, \ldots, a_n)] = 0] \leq d/|S|.$

Lemma [DeMillo and Lipton 1978, Zippel 1979, Schwartz 1980]

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$$Pr[p(a_1, a_2, \ldots, a_n)] = 0] \leq d/|S|.$$

A typical application

- We can efficiently **evaluate** a polynomial *p* of degree *d*.
- We want to test whether p is a non-zero polynomial.
- Then, we pick S so that $|S| \ge 2d$ and we evaluate p on a random vector $\mathbf{x} \in S^n$. We answer YES iff we got $p(\mathbf{x}) \ne 0$.
- If p is the zero polynomial we always get NO, otherwise we get YES with probability at least ¹/₂.
- This is called a Monte-Carlo algorithm with one-sided error.

Message

We can test whether a polynomial P is non-zero by a **single evaluation** of P in a random vector.

Polynomial equality testing

INPUT: Two multivariate polynomials P, Q given as an arithmetic circuit. QUESTION: Does $P \equiv Q$?

Note: A polynomial described by an arithmetic circuit of size *s* can have $2^{\Omega(s)}$ different monomials: $(x_1 + x_2)(x_1 - x_3)(x_2 + x_4) \cdots$.

Solution

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Solution

Test whether the polynomial P - Q is non-zero using the Schwartz-Zippel Lemma.

Theorem

Polynomial equality testing for two polynomials represented by circuits of size at most s can be solved in O(s) time with a Monte Carlo algorithm with one-sided error probability bounded by 1/2.

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Problem

INPUT: directed/undirected graph *G*, integer *k*. QUESTION: Does *G* contain a *k*-vertex path (shortly: *k*-path)?



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Progress

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- Björklund, Husfeldt, Kaski, Koivisto 2010: $O(1.66^k n^{O(1)})$, undirected

LONGEST PATH in time $O(2^k k |E|)$

$[k] = \{1, \ldots, k\}$

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Rough idea

• Want to construct a polynomial P, $P \not\equiv 0$ iff G has a k-path.

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• First try:
$$P(\dots) = \sum_{k-\text{path } R \text{ in } G} \text{monomial}(R).$$

Seems good, but how to evaluate it?

Rough idea

- Want to construct a polynomial P, $P \neq 0$ iff G has a k-path.
- First try: P(···) = ∑_{k-path R in G} monomial(R).
 Seems good, but how to evaluate it?
 Second try: P(···) = ∑_{k-walk W in G} monomial(W).

Now we can evaluate it but we may get false positives.

Rough idea

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	k-path R in G
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٩	Second try: $P(\cdots) = \sum$ monomial(W).
	k-walk W in $GNow we can evaluate it but we may get false positives.$
٩	Final try: $P(\cdots) = \sum \sum monomial(w, \ell)$.
	k-walk W in $G \ \ell \cdot [k] \rightarrow [k]$
	ℓ is bijective

- We still can evaluate it,
- It turns out that every monomial corresponding to a walk which is not a path appears an even number of times so it cancels-out!

Our Hero

$$P(\mathbf{x}, \mathbf{y}) = \sum_{\text{walk } W = v_1, \dots, v_k} \sum_{\substack{\ell: [k] \to [k] \\ \ell \text{ is bijective}}} \underbrace{\prod_{i=1}^{k-1} x_{v_i, v_{i+1}} \prod_{i=1}^k y_{v_i, \ell(i)}}_{\text{mon}_{W, \ell}}$$



Variables:

- a variable x_e for every $e \in E$,
- a variable $y_{v,\ell}$ for every $v \in V$ and $\ell \in [k]$.

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- We define $\ell': [k] \to [k]$ as follows:

$$\ell'(x) = egin{cases} \ell(b) & ext{if } x = a, \ \ell(a) & ext{if } x = b, \ \ell(x) & ext{otherwise.} \end{cases}$$

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• $mon_{W,\ell} = \prod_{i=1}^{k-1} x_{v_i, v_{i+1}} \prod_{i=1}^{k} y_{v_i, \ell(i)} = \prod_{i=1}^{k-1} x_{v_i, v_{i+1}} \prod_{i \in [k] \setminus \{a, b\}} y_{v_i, \ell(i)} \underbrace{y_{v_a, \ell(a)}}_{y_{v_b, \ell'(b)}} \underbrace{y_{v_b, \ell(b)}}_{y_{v_a, \ell'(a)}} = mon_{W, \ell'}$

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- If we start from (W, ℓ') and follow the same way of assignment we get (W, ℓ) back. (This is called a fixed-point free involution)
- Since the field is of characteristic 2, $mon_{W,\ell}$ and $mon_{W,\ell'}$ cancel out!

Corollary

If $P \neq 0$ then there is a *k*-path.
The second half



Question

Why do we need exactly
$$mon_{W,\ell} = \prod_{i=1}^{k-1} x_{v_i,v_{i+1}} \prod_{i=1}^{k} y_{v_i,\ell(i)}$$
?
What if, say, $mon_{W,\ell} = \prod_{i=1}^{k} y_{v_i,\ell(i)}$?

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Now, every labelled walk which is a path gets a unique monomial.

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Corollary

If there is a k-path in G then $P \not\equiv 0$.

Corollary

There is a k-path in G iff $P \not\equiv 0$.

The missing element

How to evaluate P efficiently? $(2^{k}(kn)^{O(1)} \text{ is efficiently enough.})$

Weighted inclusion-exclusion

Let $A_1, \ldots, A_n \subseteq U$, where U is a finite set. Let $w : U \to F$ be a weight function. For any $X \subseteq U$ denote $w(X) = \sum_{x \in X} w(x)$. Let us also denote $\bigcap_{i \in \emptyset} (U - A_i) = U$.

Then,

$$w\left(\bigcap_{i\in[n]}A_i\right)=\sum_{X\subseteq[n]}(-1)^{|X|}w\left(\bigcap_{i\in X}(U-A_i)\right).$$

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Counting over a field of characteristic 2 we know that -1 = 1 so we can remove the $(-1)^{|X|}$:

$$w\left(\bigcap_{i\in[n]}A_i\right)=\sum_{X\subseteq[n]}w\left(\bigcap_{i\in X}(U-A_i)\right).$$

Evaluating $P(\mathbf{x}, \mathbf{y}) = \sum_{\text{walk } W} \sum_{\substack{\ell: [k] \to [k] \\ \ell \text{ is bijective}}} \operatorname{mon}_{W, \ell}(\mathbf{x}, \mathbf{y})$

Fix a walk W.

•
$$U = \{\ell : [k] \rightarrow [k]\}$$
 (all functions)
• for $\ell \in U$, define the weight $w(\ell) = \operatorname{mon}_{W,\ell}(\mathbf{x}, \mathbf{y})$.
• for $i = 1, \dots, k$ let $A_i = \{\ell \in U : \ell^{-1}(i) \neq \emptyset\}$.
• Then,

$$\sum_{\substack{\ell:[k]\to[k]\\\ell \text{ is bijective}}} \operatorname{mon}_{W,\ell}(\mathbf{x},\mathbf{y}) = \sum_{\substack{\ell:[k]\to[k]\\\ell \text{ is surjective}}} \operatorname{mon}_{W,\ell}(\mathbf{x},\mathbf{y}) = \sum_{\ell\in\bigcap_{i=1}^k A_i} \operatorname{mon}_{W,\ell}(\mathbf{x},\mathbf{y}) = w(\bigcap_{i=1}^k A_i).$$

• By weighted I-E,

$$\sum_{\substack{\ell:[k]\to[k]\\\ell \text{ is surjective}}} \max_{\substack{K\subseteq[k]\\\ell:[k]\to[k]\setminus X}} \max_{\substack{K\subseteq[k]\\\ell:[k]\to[k]\setminus X}} \max_{\substack{K\subseteq[k]\\\ell:[k]\to[k]\setminus X}} \max_{\substack{K\in[k]\\\ell:[k]\to[k]\setminus X}} \max_{\substack{K\in[k]\\\ell:[k]\to[k]\to[k]\to\{k]\in\{k\}}} \max_{\substack{K\in[k]\\\ell:[k]\to\{k]\in\{k\}}} \max_{\substack{K\in[k]\\\ell:[k]\to\{k\}}} \max_{\substack{K\in[k]\atop\{k\}}} \max$$

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We got

$$\sum_{\substack{\ell:[k]\to[k]\\\ell\text{ is bijective}}} \operatorname{mon}_{W,\ell}(\mathbf{x},\mathbf{y}) = \sum_{X\subseteq[k]} \sum_{\ell:[k]\to X} \operatorname{mon}_{W,\ell}(\mathbf{x},\mathbf{y})$$

Hence,

$$P(\mathbf{x}, \mathbf{y}) = \sum_{\text{walk } W} \sum_{X \subseteq [k]} \sum_{\ell : [k] \to X} \operatorname{mon}_{W, \ell}(\mathbf{x}, \mathbf{y})$$
$$= \sum_{X \subseteq [k]} \sum_{\text{walk } W} \sum_{\ell : [k] \to X} \operatorname{mon}_{W, \ell}(\mathbf{x}, \mathbf{y})$$
$$\xrightarrow{P_X(\mathbf{x}, \mathbf{y})}$$

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Evaluating $P_X(\mathbf{x}, \mathbf{y}) = \sum_{\substack{\text{walk } W \\ \text{of length } k}} \sum_{\ell:[k] \to X} \operatorname{mon}_{W,\ell}(\mathbf{x}, \mathbf{y}) \text{ in } n^{O(1)}$

We use dynamic programming. (How?)

Evaluating $P_X(\mathbf{x}, \mathbf{y}) = \sum_{\substack{\text{walk } W \\ \text{of length } k}} \sum_{\ell:[k] \to X} \operatorname{mon}_{W, \ell}(\mathbf{x}, \mathbf{y}) \text{ in } n^{O(1)}$

We use dynamic programming. (How?) Fill the 2-dimensional table T,

$$T[v, d] = \sum_{\substack{\text{walk } W = v_1, \dots, v_d \ \ell: [k] \to X \\ v_1 = v}} \sum_{i=1}^{d-1} x_{v_i, v_{i+1}} \prod_{i=1}^d y_{v_i, \ell(i)}$$

Then,

$$\mathcal{T}[v, d] = \begin{cases} \sum_{l \in X} y_{vl} & \text{when } d = 1, \\ \sum_{l \in X} y_{vl} \sum_{(v, w) \in E} x_{vw} \cdot \mathcal{T}[w, d - 1] & \text{otherwise.} \end{cases}$$

Hence, $P_X(\mathbf{x}, \mathbf{y}) = \sum_{s \in V} T[s, k]$ can be computed in O(k|E|) time.

Cost of arithmetic



- Degree of P is 2k 1.
- Pick a field F of size $2^{\lceil \log(4k) \rceil} > 4k$.
- Sample values of variables from set S = F.
- By Schwartz-Zippel Lemma, false-negatives with probability at most $(2k-1)/(4k) \leq 1/2$
- Arithmetic in $O(\log k(\log \log k)^2)$ time (cheap!).

Conclusion

Corollary

LONGEST PATH can be solved by a $O(2^k k \log k (\log \log k)^2 |E|)$ -time polynomial space one-sided error Monte-Carlo algorithm.

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Finding k-paths in a 1000-vertex graph on a 2.53-GHz Intel Xeon CPU:



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Algebraic techniques II

LONGEST PATH in undirected bipartite graphs in $2^{k/2}(kn)^{O(1)}$ time

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Idea

Label vertices of V_1 only.

$$P(\mathbf{x}, \mathbf{y}) = \sum_{\text{walk } W = v_1, \dots, v_k} \sum_{\substack{\ell: [k/2] \to [k/2] \\ \ell \text{ is bijective}}} \underbrace{\prod_{i=1}^{k-1} x_{v_i, v_{i+1}} \prod_{i=1}^{k/2} y_{v_{2i-1}, \ell(i)}}_{\text{mon}_{W, \ell}}$$

Variables:
• a variable x_e for every $e \in E(x_{uv} = x_{vu})$,

• a variable $y_{v,\ell}$ for every $v \in V_1$ and $\ell \in [k/2]$.



Paths do not cancel-out

If there is a *k*-path with an endpoint in V_1 then $P \neq 0$. (Proof: We can recover (W, ℓ) from mon_{*W*, ℓ} as before.)

Checking the hero

$$P(\mathbf{x}, \mathbf{y}) = \sum_{\text{walk } W = v_1, \dots, v_k} \sum_{\substack{\ell: [k/2] \to [k/2] \\ \ell \text{ is bijective}}} \prod_{i=1}^{k-1} x_{v_i, v_{i+1}} \prod_{i=1}^{k/2} y_{v_{2i-1}, \ell(i)}$$

Do non-path walks cancel-out?

Consider a non-path labelled walk (W, ℓ) , $W = v_1, \ldots, v_k$. Case 1 If exist i, j, i < j s.t. $v_i = v_j, v_i \in V_1$: pick lexicographically first such pair; both v_i and v_j have labels so we **swap labels** as before.

Case 2 As in Case 1, but $v_i \in V_2$ and Case 1 does not occur: reverse the cycle:



- $\operatorname{mon}_{W,\ell} = \operatorname{mon}_{W',\ell'}$,
- from (W',ℓ') we get (W,ℓ) ,

• Does
$$(W, \ell) \neq (W', \ell')$$
 ?

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Fixing the hero

Admissible walks

Walk v_1, \ldots, v_k is admissible if: For every $i = 1, \ldots, k - 2$, if $v_i \in V_2$ and $v_{i+1} \in V_1$ then $v_{i+2} \neq v_i$. k/2k-1 $\prod_{i=1}^{n} x_{v_{i},v_{i+1}} \prod_{i=1}^{r} y_{v_{2i-1},\ell(i)}$ $P(\mathbf{x}, \mathbf{y}) = \sum_{\substack{\text{walk } W = v_1, \dots, v_k \\ W \text{ is admissible}}} \sum_{\substack{\ell : [k/2] \to [k/2] \\ \ell \text{ is bijective}}} \prod_{i=1}^{\ell}$ i=1 $mon_{W,\ell}$

Checking the fixed hero



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- $\operatorname{mon}_{W,\ell} = \operatorname{mon}_{W',\ell'}$,
- from (W',ℓ') we get (W,ℓ) ,
- $(W, \ell) \neq (W', \ell')$ because W admissible,
- W' is admissible.

Evaluating $P(\mathbf{x}, \mathbf{y}) = \sum_{\substack{\text{admissible walk } W \ \ell: [k/2] \rightarrow [k/2] \\ \ell \text{ is bijective}}} \operatorname{mon}_{W,\ell}(\mathbf{x}, \mathbf{y})$

As before, from inclusion-exclusion principle we can get

$$\sum_{\substack{\ell: [k/2] \to [k/2] \\ \ell \text{ is bijective}}} \operatorname{mon}_{W,\ell}(\mathbf{x}, \mathbf{y}) = \sum_{X \subseteq [k/2]} \sum_{\ell: [k/2] \to X} \operatorname{mon}_{W,\ell}(\mathbf{x}, \mathbf{y})$$

Hence, as before:

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$$P(\mathbf{x}, \mathbf{y}) = \sum_{\text{admissible walk } W} \sum_{X \subseteq [k/2]} \sum_{\ell : [k/2] \to X} \operatorname{mon}_{W, \ell}(\mathbf{x}, \mathbf{y})$$
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$$\xrightarrow{P_X(\mathbf{x}, \mathbf{y})}$$

Note: Only $2^{k/2}$ polynomials P_X to evaluate.

Evaluating $P_X(\mathbf{x}, \mathbf{y}) = \sum_{\substack{\text{admissible} \\ walk \ W \\ of \ length \ k}} \sum_{\substack{k/2 \\ k}} mon_{W,\ell}$ in poly-time

Dynamic programming:

$$T[v, w, d] = \sum_{\substack{\text{admissible walk} \\ W = v_1, \dots, v_d \\ v_1 = v \\ v_2 = w}} \sum_{\ell: [k/2] \to X} \prod_{i=1}^{k-1} x_{v_i, v_{i+1}} \prod_{i=1}^{k/2} y_{v_{2i-1}, \ell(i)}$$

Then,

$$T[v, w, d] = \begin{cases} x_{vw} \sum_{l \in X} y_{vl} & \text{when } d = 2 \text{ and } v \in V_1, \\ x_{vw} \sum_{l \in X} y_{wl} & \text{when } d = 2 \text{ and } v \in V_2, \\ \sum_{l \in X} y_{vl} \sum_{vw} \cdot T[w, u, d-1] & \text{when } d > 2 \text{ and } v \in V_1, \\ \sum_{\substack{l \in X \ (w,u) \in E \\ u \neq v}} x_{vw} \cdot T[w, u, d-1] & \text{when } d > 2 \text{ and } v \in V_2. \end{cases}$$

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Theorem (Björklund, Husfeldt, Kaski, Koivisto 2010)

LONGEST PATH in undirected bipartite graphs can be solved in $2^{k/2}(kn)^{O(1)} = 1.42^k(kn)^{O(1)}$ time and polynomial space.

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- Choose a random bipartition $V = V_1 \cup V_2$, $||V_1| |V_2|| \le 1$. (V_1 and V_2 need not be independent now.)
- Where does the bipartite case algorithm fail?

$$W \xrightarrow{v_i = v_j} W' \xrightarrow{v_i = v_j} V_i = v_j$$

$$\ell) = (W', \ell').$$

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What if we forbid also \rightarrow ?

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- Where does the bipartite case algorithm fail?



The solution

• Forbidden configuration as before:



• Add more labels: label each V₂V₂-edge:



Now $\ell' \neq \ell$.

- a different label for each $i = 1, \ldots, k$ s.t. $v_i \in V_1$
- a different label for each $i = 1, \ldots, k$ s.t. $v_i, v_{i+1} \in V_2$

Walk $W = v_1, \ldots, v_k$ is *L*-admissible when

• For every $i = 1, \ldots, k - 2$, if $v_i \in V_2$ and $v_{i+1} \in V_1$ then $v_{i+2} \neq v_i$.



• $|\{i : v_i \in V_1\}| + |\{i : v_i v_{i+1} \in V_2\}| = L$

The ultimate hero

$$P_{L}(\mathbf{x}, \mathbf{y}) = \sum_{\substack{\text{walk } W = v_1, \dots, v_k \\ W \text{ is } L \text{-admissible}}} \sum_{\substack{\ell : [L] \to [L] \\ \ell \text{ is bijective}}} \prod_{i=1}^{k-1} x_{v_i, v_{i+1}} \prod_{i=1}^{L} y_{f(i), \ell(i)},$$

where f(i) = i-th labeled object (V_1 -vertex or V_2V_2 -edge) in walk W.



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- But...

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$$\mathbb{E}[|\{i : v_i \in V_1\}| + |\{i : v_i v_{i+1} \in V_2\}|] = \frac{k}{2} + \frac{k-1}{4} = \frac{3k-1}{4}$$

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• If we repeat the algorithm k log n times this probability drops to

$$(1 - 1/O(k))^{k \log n} = (e^{-1/O(k)})^{k \log n} = e^{-O(\log n)} = 1/n^{\Omega(1)}$$

Theorem (Björklund, Husfeldt, Kaski, Koivisto 2010)

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Note: using a simple trick one can tune the algorithm to get $1.66^k n^{O(1)}$.

Corollary (Björklund 2009)

The Hamiltonian Cycle problem in undirected graphs can be solved in $1.66^k n^{O(1)}$ time and polynomial space.

- 3-dimensional matching,
- k-packing,
- edge coloring,
- Steiner cycle (aka K-cycle),
- rural postman,
- graph motif and related problems,

• . . .

- Improve over $O(1.66^n)$ for Hamiltonian cycle in undirected graphs
- Get $O(1.99^n)$ for Hamiltonian cycle in directed graphs.
- Faster deteministic algorithms for LONGEST PATH (Best known: 2.86^k n^{O(1)}, Fomin, Lokshtanov, Saurabh 2013)

- (Ex 10.16, *P*) Show an algorithm running in time 2^k(nk)^{O(1)} and polynomial space for finding a colorful k-path in a vertex colored graph.
- (Ex 10.17) Extend the $2^k (nk)^{O(1)}$ algorithm for k-path to weighted case for weight function $w : E \to [W]$. Your algorithm should run in time $2^k W(nk)^{O(1)}$.
- (Ex 10.18) Show a $2^{3k}(nk)^{O(1)}$ algorithm which determines if a given graph contains k vertex disjoint triangles.